



DEPARTMENT OF THE NAVY
OFFICE OF THE CHIEF OF NAVAL OPERATIONS
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OPNAV INSTRUCTION 4000.35N

From: Chief of Naval Operations

Subj: U.S. NAVY PARTICIPATION IN THE NORTH ATLANTIC TREATY
ORGANIZATION SECURITY INVESTMENT PROGRAM

Ref: (a) DoD Directive 2010.5 of 13 December 2004

1. Purpose. To define and outline the procedures used in the development of North Atlantic Treaty Organization (NATO) infrastructure projects.

2. Cancellation. OPNAVINST 4000.35M.

3. Background

a. The NATO Security Investment Program (NSIP) is a collective security acquisition program commonly financed by member nations. The program is limited by NATO criteria to projects for joint use or which are clearly accepted as being in the common interest. NSIP finances the construction and restoration needed to support NATO minimum military requirements. Facilities built and restored with NSIP funds include airfields, communications and information systems, pipelines and storage facilities for fuel, harbors, military headquarters, radar systems, and navigational aids.

b. The NSIP is composed of projects included in an approved capability package and authorized by the investment committee. Capability packages are developed by Supreme Allied Command Transformation and Allied Command Operations and outline the combination of national (military and civilian) and NATO funded infrastructure; operations, and maintenance; manpower; and associated costs that, with the military forces and other essential requirements, enable a NATO commander to achieve a specific military required capability. Infrastructure is the term used by NATO to describe facilities, utilities, and fixed equipment required to support military force.

c. Construction costs associated with the program are paid collectively by member governments of NATO, based on an agreed cost share formula for each program year. After completion, NSIP-funded facilities are normally operated and maintained by the host nation, with user nations being billed for a share of the annual maintenance and operation cost in proportion to usage. The amount of this share is stipulated in bilateral agreements, executed between the host and the user nations, regarding the control and operation of the facility.

4. Responsibilities. The responsibilities associated with U.S. Navy participation in the NSIP are defined in reference (a). Additional responsibilities are assigned as follows:

a. Commander, U.S. Naval Forces, Europe (COMUSNAVEUR) is responsible for NSIP eligible location outside the continental United States with the following duties:

(1) Ensure that all projects and elements of projects eligible for NATO common funding are submitted via the unified commander into the NATO infrastructure cycle rather than into the military construction program, except when urgent need dictates prefinancing.

(2) Represent the U.S. Navy at major subordinate commander regional screening conferences, maintenance and readiness inspections, and appropriate meetings with NATO authorities on infrastructure matters.

(3) Participate in NATO and unified commander NSIP conferences, as appropriate.

(4) Negotiate and execute Chief of Naval Operations approved bilateral agreements with host countries.

(5) Conduct periodic inspections and audits to insure continuing compliance with departmental and unified commanders' regulations.

(6) Furnish comments and recommendations on NATO criteria and standards, as appropriate.

(7) Fund appropriate U.S. Navy share of initial outfitting and annual operation and maintenance costs.

(8) Submit and track U.S. prefinanced projects and report on recoupment status, as appropriate.

b. Commander, Navy Installations Command

(1) Fund appropriate U.S. Navy share of initial outfitting and annual operation and maintenance costs.

(2) Publish Navy policies for implementing Department of Defense policies for NSIP programs.

c. Commander, Naval Facilities Engineering Command

(1) Responsible for all administrative issues including the submission of fund requests on all projects included in an approved capability package.

(2) Coordinate the preparation of the draft joint formal acceptance inspection (JFAI) documentation; submit the official documentation to NATO via the U.S. mission to NATO; schedule and represent the host nation at the JFAIs.

(3) Coordinate audits with the International Board of Auditors for NATO; prepare and present the financial claim on behalf of the host nation.

(4) Program for host nation infrastructure support funds incidental to executing programmed NATO projects.

(5) Prepare and submit the quarterly infrastructure progress report per the Allied Command Transformation Infrastructure Planning Guide.

(6) Prepare and submit, through the U.S. Army Europe, the NATO semi-annual financial report to headquarters NATO.

(7) Provide guidance to Navy, Air Force and Army components on NATO project implementation and financial and project status reporting procedures, and represent them at JFAIs and audits.

(8) Participate in NATO and unified commander NSIP conferences and status meetings, as appropriate.

(9) Provide assistance to Commander, United States Fleet Forces Command and COMUSNAVEUR and other Service component commands on matters dealing with NSIP.

(10) Furnish comments and recommendations on proposed NATO criteria and standards.

(11) Provide training to other Navy, Air Force, and Army components on NATO project implementation and financial and project status reporting procedures.

5. Records Management. Records created as a result of this instruction, regardless of media and format, shall be managed per Secretary of the Navy (SECNAV) Manual 5210.1 of January 2012.

6. Reports Control. Reporting requirements contained within this instruction are exempt from reports control per SECNAV Manual 5214.1 of December 2005, part IV, paragraphs 7k and 7n.



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