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OPNAV INSTRUCTION 4700.40

From: Chief of Naval Operations

Subj: SURFACE AND EXPEDITIONARY WARFARE MAINTENANCE AND
MODERNIZATION COMMITTEE

Ref: (a) OPNAVINST 4700.7L

Encl: (1) Surface and Expeditionary Warfare Maintenance and
Modernization Committee Charter

1. Purpose. Per reference (a), to establish a flag-level surface and expeditionary warfare maintenance and modernization committee; to provide procedures to identify maintenance and modernization requirements and develop plans and programs to satisfy those requirements; and to establish a process to prioritize, program, and resource an integrated effective surface and expeditionary warfare maintenance and modernization plan.

2. Applicability. This instruction is applicable to all surface and expeditionary ship maintenance and modernization requirements with the exception of nuclear-powered surface ships.

3. Discussion. Close coordination is required among fleet commanders; surface and Navy expeditionary type commanders (TYCOM); Commander, Naval Sea Systems Command (COMNAVSEASYSKOM); Space and Naval Warfare Systems Command (SPAWARSYSKOM); program executive offices (PEO); and the Office of the Chief of Naval Operations (OPNAV) staff to develop, program, and annually update an OPNAV approved surface and expeditionary warfare maintenance and modernization plan. This plan supports Commander, United States Fleet Forces Command (COMUSFLTFORCOM) and Commander, United States Pacific Fleet (COMPACFLT) readiness requirements while balancing force structure and capability requirements. This coordination is best accomplished through a standing committee of knowledgeable and accountable representatives who actively participate in the development and assessment of maintenance and modernization requirements and resourcing solutions.

4. Procedures, Plans, and Process. Enclosure (1) provides guidance for executing the policies and procedures of this instruction.

5. Action. The surface and expeditionary warfare maintenance and modernization committee must ensure all maintenance and modernization requirements, to include shipyard planning and availabilities, are annually assessed and endorsed by TYCOMs, COMNAVSEASYSKOM, SPAWARYSKOM, COMPACFLT, and COMUSFLTFORCOM and validated by OPNAV via the program objective memorandum (POM) process. The surface and expeditionary warfare maintenance and modernization committee must document results in the surface and expeditionary warfare maintenance and modernization plan. The surface and expeditionary warfare maintenance and modernization plan must reflect POM programming decisions made by the respective resource sponsors.

6. Records Management. Records created as a result of this instruction, regardless of format and media, must be managed per Secretary of the Navy Manual 5210.1 of January 2012.

7. Information Management Control. The data collection and reporting requirements in this instruction are exempt from Information Management Control per Secretary of the Navy Manual 5214.1 of December 2005, part IV, subparagraph 7k.



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SURFACE AND EXPEDITIONARY WARFARE MAINTENANCE AND MODERNIZATION
COMMITTEE CHARTER

1. Objective. To improve the readiness of surface and expeditionary forces through the development of an integrated surface and expeditionary warfare maintenance and modernization plan.

2. Functions. The major functions of the surface and expeditionary warfare maintenance and modernization committee are:

a. Assess surface force and expeditionary maintenance and modernization requirements. Develop detailed and executable POM maintenance and modernization solutions by identifying program and non-program of record maintenance and modernization requirements to meet OPNAV and fleet objectives.

b. Develop and publish the surface and expeditionary warfare maintenance and modernization plan, which documents maintenance and modernization requirements and the resources to address them, and serves as the surface and expeditionary maintenance and modernization investment strategy. The maintenance and modernization plan is maintained by the Director, Surface Warfare (OPNAV N96); Director, Expeditionary Warfare (OPNAV N95); Director of Warfare Integration (OPNAV N2N6F); and Director, Fleet Readiness (OPNAV N43).

c. Establish action groups to provide a forum to address specific surface and expeditionary warfare maintenance and modernization topics.

d. Review and incorporate surface TYCOM advisory requirement board recommendations into the surface and expeditionary warfare maintenance and modernization plan as appropriate.

3. Membership. Surface and expeditionary warfare maintenance and modernization committee members (O-7 and civilian equivalent or above):

a. OPNAV N96 (chair);

b. OPNAV N95;

- c. OPNAV N2N6F;
- d. OPNAV N43;
- e. Director of Fleet Maintenance (COMUSFLTFORCOM N43);
- f. Director of Fleet Maintenance, (COMPACFLT N43);
- g. Commander, Naval Surface Forces, U.S. Pacific Fleet;
- h. Commander, Naval Surface Forces, Atlantic;
- i. COMNAVSEASYSYSCOM Deputy Commander for Surface Warfare (NAVSEA 21);
- j. PEO, littoral combat ship;
- k. PEO, integrated warfare systems;
- l. SPAWARSYSCOM Deputy Commander for Fleet Readiness;
- m. Commander, Navy Regional Maintenance Center (COMNAVRMC);
- n. Commander, Naval Information Forces; and
- o. PEO, command, control, communications, computers and intelligence.

4. Reporting Requirements. The surface TYCOM advisory requirement board members, listed in figure 1, must report to their respective chains of command and inform other members when decisions affect surface and expeditionary warfare maintenance and modernization committee proposed or endorsed POM investments.

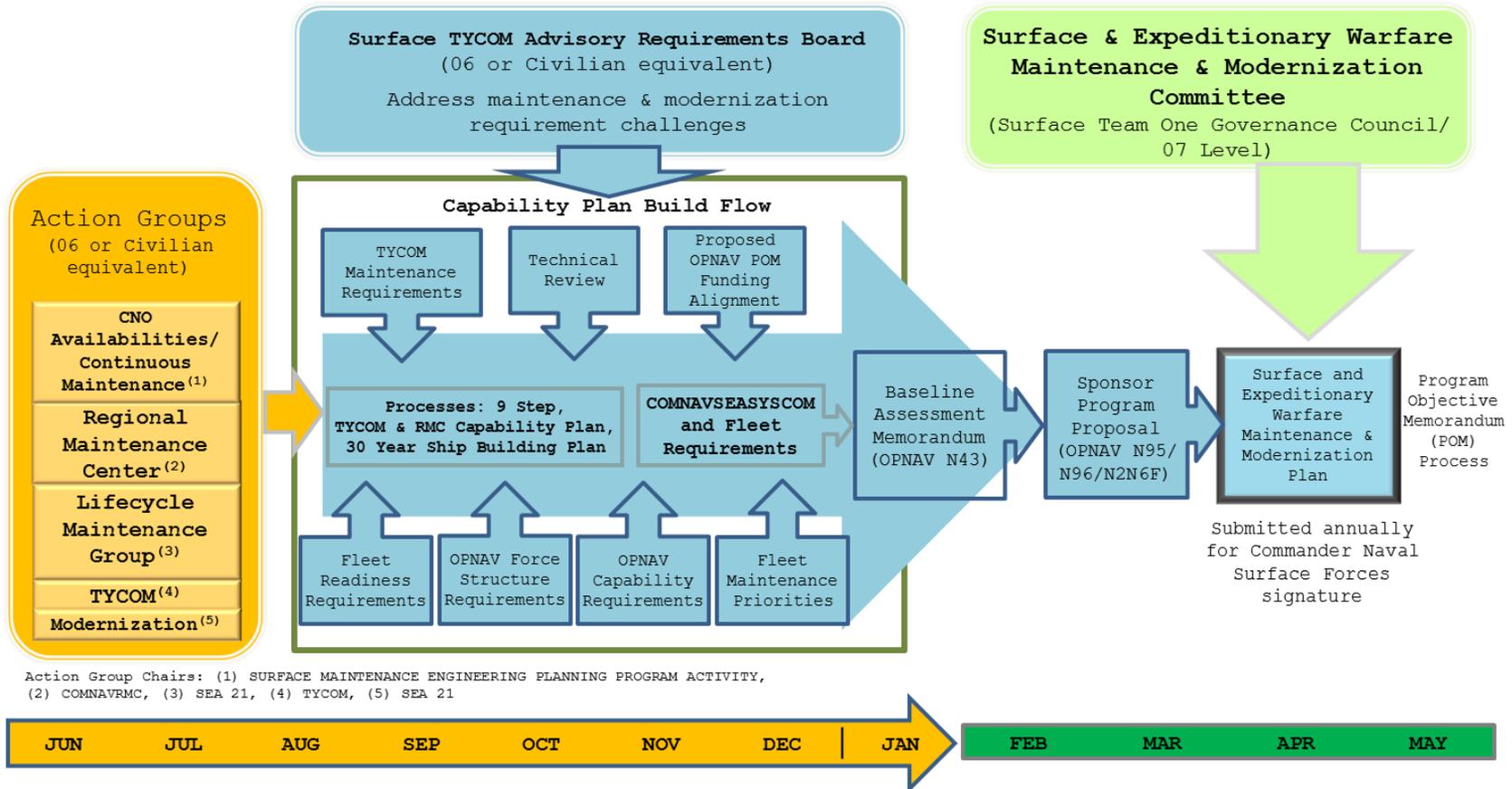
5. Frequency of Meetings. The surface and expeditionary warfare maintenance and modernization committee must meet semi-annually in coordination with other surface team one governance council meetings.

6. Action Groups. The surface and expeditionary warfare maintenance and modernization committee must leverage existing action groups and establish other action groups as necessary to pull together requirements. The requirements are reviewed by

the surface TYCOM advisory requirement board prior to submission to the surface and expeditionary warfare maintenance and modernization committee. The current action groups are:

- a. Chief of Naval Operations and continuous maintenance availability requirements (chaired by surface maintenance engineering planning program).
- b. Regional maintenance center capability plan (chaired by COMNAVRMC).
- c. Lifecycle management group (chaired by NAVSEA 21).
- d. TYCOM capability plan (chaired by COMUSFLTFORCOM N43).
- e. Modernization (chaired by NAVSEA 21).

7. Maintenance and Modernization Plan Process. The surface and expeditionary warfare maintenance and modernization committee membership and requirements flow are shown in figure 1. Notional timeline for the process is contained in figure 2.



Action Group Chairs: (1) SURFACE MAINTENANCE ENGINEERING PLANNING PROGRAM ACTIVITY,
(2) COMNAVRMC, (3) SEA 21, (4) TYCOM, (5) SEA 21

Figure 1 Surface Maintenance and Modernization Plan Process

Event	Date
OPNAV POM maintenance kickoff	13 August
POM ship sheet review kickoff	14 August
Surface TYCOM advisory review board meeting and ship sheet review	3 September
Surface expeditionary maintenance and modernization committee (charter and plan)	16 September
OPNAV POM modernization kickoff	17 December
Complete ship sheet review	1 October
Fleet, TYCOM, and regional maintenance center inputs due	24 October
Surface TYCOM advisory review board meeting face to face	7 November
Ship availability scheduling lock	14 November
Final ship sheet verification	18 November
Surface expeditionary maintenance and modernization committee review	13 January
OPNAV N95, N96, and N2N6F sponsor program proposal submitted	31 March
Surface TYCOM advisory review board meeting face to face	21 June
Surface expeditionary maintenance and modernization committee review	14 July
POM closeout	14 July
Surface expeditionary maintenance and modernization committee plan complete	1 October

Figure 2 Notional Timeline for Surface Maintenance and Modernization Plan Process