



DEPARTMENT OF THE NAVY
OFFICE OF THE SECRETARY
1000 NAVY PENTAGON
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SECNAVINST 5710.30
ASN (RD&A) / SSP
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SECNAV INSTRUCTION 5710.30

From: Secretary of the Navy.

Subj: DEPARTMENT OF THE NAVY IMPLEMENTATION OF, AND COMPLIANCE WITH, THE TREATY BETWEEN THE UNITED STATES OF AMERICA AND THE RUSSIAN FEDERATION ON MEASURES FOR FURTHER REDUCTION AND LIMITATION OF STRATEGIC OFFENSIVE ARMS

Ref: (a) Treaty Between the United States of America and the Russian Federation on Measures for the Further Reduction and Limitation of Strategic Offensive Arms
(b) SECNAVINST 5710.23C
(c) Atomic Energy Act of 1954
(d) OPNAVINST 5710.28A

1. Purpose. To implement Department of the Navy (DON) requirements and procedures for readiness to comply with the U.S. Government's obligations under reference (a), and as set forth in reference (b).

2. Background. On 8 April 2010, the U.S. Government signed reference (a), the New Strategic Arms Reduction Treaty (New START) with the Russian Federation. This treaty, which entered into force on 5 February 2011, establishes extensive verification procedures including on-site inspections and exhibitions. Naval facilities and operating forces are subject to intrusive inspections. Inspections include type one inspections of operationally deployed submarine launched ballistic missiles (SLBM) aboard nuclear-powered ballistic missile submarines (SSBN), modified launchers on nuclear-powered guided missile submarines (SSGN), and non-deployed SLBMs at the base. The type one inspections occur at two treaty-declared submarine bases: Strategic Weapons Facility, Atlantic (SWFLANT), Kings Bay, Georgia; and Strategic Weapons Facility, Pacific (SWFPAC), Silverdale, Washington. Type two inspections will be conducted at declared SLBM storage facilities to verify the number of SLBM first stages stored on these site(s). During the life of the treaty, type two inspections may also be conducted at SWFLANT and SWFPAC on SSBNs to verify the

conversion of selected launchers that have been rendered incapable of launching SLBMs. Additionally, scheduled exhibitions will be conducted on the former SSBNs that are now SSGNs. After such exhibitions are performed, SSGNs may be subject to inspection if they are located at SWFLANT or SWFPAC during a type one inspection. The stated policy of the DON is to fully comply with all existing nuclear weapons safety and security requirements while fulfilling the requirements of the treaty.

3. Action. The following actions will ensure that DON New START implementation and compliance objectives are met:

a. Identification of affected DON equities and assessment of potential impacts, via semiannual or special data calls, on-site visits, and on-going liaison activities internal to the DON;

b. Execution of an outreach and or awareness program;

c. Support in developing and implementing security and vulnerability assessments;

d. Identification of DON facilities that will require notification of New START activities;

e. Development, maintenance, and implementation of a DON notification plan; and

f. Utilization of a DON centralized management approach to comply with New START.

4. Policy. The DON shall comply with New START provisions. These actions do not remove other command responsibilities to ensure compliance with Navy and Marine Corps safety and security regulations and directives.

a. Without prior approval by the DON, the New START inspectors will not be granted access to any DON facility, program, or activity not listed as inspectable under the provisions of New START, as they are considered of direct national security significance, nor will they be granted access

to programs or activities that the DON sponsors, funds, or are executed on its behalf, outside the scope of New START inspections.

b. International inspectors and their U.S. Government escorts do not exercise command authority over Navy and Marine Corps facilities. Under the U.S. Navy Regulations of 1990 and the recognized principals of sovereign immunity, the commanders of Navy and Marine Corps assets are responsible for the routine conduct of operations; control of access to their facilities, ships, vessels, and aircraft; the safety of visitors; the protection of national security information; and compliance with arms control and other international agreements.

c. Program managers are responsible for protecting national security and sensitive information regarding their programs through existing security plans, managed access, or other appropriate means.

d. Where necessary, managed access shall be employed in providing access to New START inspectors and their U.S. Government escorts.

e. Access shall not be granted to national security information, or to information controlled as restricted data or formerly restricted data under reference (c), as amended; except as specified in reference (a) and, then only following provisions established by the Department of Defense and the Department of Energy.

5. Responsibilities. Per reference (b), the following are assigned New START implementation and compliance responsibilities:

a. Assistant Secretary of the Navy for Research, Development and Acquisition (ASN(RD&A)) is responsible for New START implementation and compliance within the DON.

b. The Chief of Naval Operations (CNO) shall provide operational input to DON implementation and compliance planning. CNO shall also establish policy and guidance to ensure treaty

compliance. Within the Navy staff, the following are assigned responsibilities, consistent with reference (b), as indicated below:

(1) The Vice Chief of Naval Operations may designate additional and associate funding resource sponsors for New START implementation requirements, as required.

(2) The Deputy Chief of Naval Operations (Plans, Policy, and Operations (N3/N5) (CNO (N3/N5)) shall be responsible for the formulation of New START negotiation policy positions, in coordination with the Director, Strategic Systems Programs (DIRSSP), and for providing operational input to DON implementation, compliance, and planning activities, as well as addressing operational impact issues to the Joint Staff and the Office of the Secretary of Defense.

(3) The Office of the Chief of Naval Operations Director, Submarine Warfare Division (OPNAV (N87)) shall serve as the responsible resource sponsor of the lead office for New START implementation requirements.

(4) Director, Special Programs Division (OPNAV (N89)) shall ensure DON special access programs are prepared to demonstrate compliance with New START during verification activities without compromise of sensitive program information.

(5) The Navy Operations Center is responsible for transmitting inspection notifications.

c. Office of the General Counsel and the Office of the Judge Advocate General shall provide legal assistance at all levels of the DON regarding New START.

d. Chief of Naval Information, in coordination with the Office of the Assistant Secretary of Defense for Public Affairs and DIRSSP, is responsible for planning and conducting all public affairs activities in support of New START, as identified in the treaty.

e. DIRSSP is the DON executive agent for all DON implementation and compliance functions for New START. DIRSSP is responsible for overall New START implementation planning and implementing treaty provisions at all Navy facilities. DIRSSP shall also provide detailed planning and assistance in preparation for, and during accomplishment of treaty requirements. DIRSSP shall:

(1) Establish and execute implementation and compliance plans and procedures to ensure that the DON complies with its obligations for New START.

(2) Coordinate, as necessary, with DON echelon 2 commands, program executive officers, and program managers to ensure that plans, programs, and budgets are implemented regarding New START and upon request, provide guidance and technical assistance.

(3) Notify DON activities of New START requirements, as required.

(4) Conduct semiannual and periodic data calls, as required, for provision to DON to determine New START activity impacts to DON equities.

(5) Coordinate with Director, Naval Criminal Investigative Service (NCIS) to produce a New START pre- and post-inspection assessment that evaluates risks to national security interests, determines the effectiveness of precautionary measures, and provides feedback to activities where a security concern may exist.

(6) Prepare training materials on New START and provide training when requested. Ensure that these materials are available to the DON.

(7) Provide New START expertise for DON activities requesting such support. Assistance may consist of treaty information packets, training seminars, and assist team visits, as required.

f. NCIS shall provide New START-specific counterintelligence support.

g. Commander, Naval Sea Systems Command, in coordination with DIRSSP, is responsible for conducting SSBN conversions and eliminations in consonance with the treaty requirements.

h. Echelon 2 commanders, program executive officers, and program managers shall identify points of contact responsible for New START actions to DIRSSP. Points of contact will be responsible for coordinating actions within their organizations, including subordinate commands.

i. Commanding officers at all New START-inspectable Navy facilities shall provide technical expertise for New START implementation.

j. Fleet combatant commanders, submarine type commanders, groups and squadrons, submarine bases, SSBNs and SSGNs shall support and ensure compliance with the New START requirements. Local commanders (Strategic Weapons Facility commanding officers) shall provide technical expertise for New START implementation, as well as logistic support for inspection teams. This support includes providing in-area transportation, assistance in obtaining lodging and meals, and public affairs office support and assistance, as required. Detailed requirements can be found in reference (d).

6. Records Management. Records created as a result of this instruction, regardless of media and format, shall be managed per Secretary of the Navy (SECNAV) Manual (M-)5210.1 of November 2007.

7. Reports Control. Reports contained within this instruction are exempt from reports control per SECNAV M-5214.1 of December 2005.



SEAN J. STACKLEY
Assistant Secretary of the Navy
(Research, Development and
Acquisition)

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